

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner
 US Department of Commerce
 United States Patent and Trademark
 Office, PCT
 2011 South Clark Place Room
 CP2/5C24
 Arlington, VA 22202
 ETATS-UNIS D'AMERIQUE
 in its capacity as elected Office

Date of mailing (day/month/year) 17 July 2001 (17.07.01)	
International application No. PCT/US00/18389	Applicant's or agent's file reference 618019-1
International filing date (day/month/year) 30 June 2000 (30.06.00)	Priority date (day/month/year) 26 August 1999 (26.08.99)
Applicant CHIA, Meang, K. et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:
 23 March 2001 (23.03.01)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer H. Zhou Telephone No.: (41-22) 338.83.38
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(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
1 March 2001 (01.03.2001)

PCT

(10) International Publication Number
WO 01/13754 A1(51) International Patent Classification⁷: A44C 17/02

(21) International Application Number: PCT/US00/18389

(22) International Filing Date: 30 June 2000 (30.06.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

09/383,814	26 August 1999 (26.08.1999)	US
29/110,327	3 September 1999 (03.09.1999)	US
29/116,859	11 January 2000 (11.01.2000)	US
29/120,104	10 March 2000 (10.03.2000)	US

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:

US 09/383,814 (CIP)
Filed on 26 August 1999 (26.08.1999)

(71) Applicants and

(72) Inventors: CHIA, Meang, K. [US/US]; Suite 1104, 412 W. 6th Street, Los Angeles, CA 90014 (US). CHIA, Cheo,

K. [US/US]; Suite 1104, 412 W. 6th Street, Los Angeles, CA 90014 (US). CHIA, Huy, K. [US/US]; Suite 1104, 412 W. 6th Street, Los Angeles, CA 90014 (US).

(74) Agents: PALMER, John et al.; Ladas & Parry, Suite 2100, 5670 Wilshire Boulevard, Los Angeles, CA 90036-5679 (US).

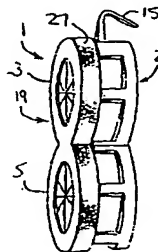
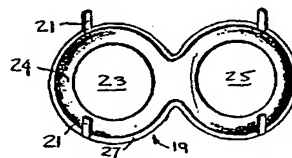
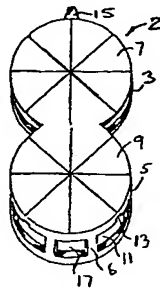
(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— With international search report.

[Continued on next page]

(54) Title: JEWELRY ITEM



(57) Abstract: A decorative jewelry item comprises a base member (2) with a hollow interior and a decorative top (7), a cap (19) brought down over the decorative top, and a fastener arrangement (21) for fixing the cap to the base member after the cap is brought into contact with the decorative top. The cap has an opening (23, 25) therein through which the decorative top (7, 9) of the base member can be observed. All exposed surfaces of the base member (2) and cap (19) may have surface finishing features and/or graphic representations or designs to make the jewelry item more attractive. In an alternative construction, a decorative insert is inserted, through an opening in the top of a hollow base member, and is fixed within the base member with the insert below the base member top and viewable through the top opening. The jewelry item may be designed to have the appearance of a single decorative unit, or to have the appearance of a double (or greater) decorative unit. Such units have application in many jewelry items. A number of such units may be connected in series to form a tennis bracelet.

WO 01/13754 A1

WO 01/13754 A1



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

LADAS & PARRY

NOV 20 2000

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PATENT COOPERATION TREATY

PCT

From the INTERNATIONAL BUREAU

To:

PALMER, John
Ladas & Parry
Suite 2100
5670 Wilshire Boulevard
Los Angeles, CA 90036-5679
ETATS-UNIS D'AMERIQUE

NOTIFICATION CONCERNING
SUBMISSION OR TRANSMITTAL
OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

Date of mailing (day/month/year) 09 November 2000 (09.11.00)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 618019-1	
International application No. PCT/US00/18389	International filing date (day/month/year) 30 June 2000 (30.06.00)
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 26 August 1999 (26.08.99)
Applicant CHIA, Meang, K. et al	

1. The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
2. This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
3. An asterisk(*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
4. The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
26 Augu 1999 (26.08.99)	09/383,814	US	03 Augu 2000 (03.08.00)
03 Sept 1999 (03.09.99)	29/110,327	US	03 Augu 2000 (03.08.00)
11 Janu 2000 (11.01.00)	29/116,859	US	07 Augu 2000 (07.08.00)
10 Marc 2000 (10.03.00)	29/120,104	US	04 Augu 2000 (04.08.00)

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer

Fiona DOHERTY

Telephone No. (41-22) 338.83.38

LADAS & PARRY

PATENT COOPERATION TREATY

MAR 12 2001

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PCT

From the INTERNATIONAL BUREAU

To:

PALMER, John
Ladas & Parry
Suite 2100
5670 Wilshire Boulevard
Los Angeles, CA 90036-5679
ETATS-UNIS D'AMERIQUE

**NOTICE INFORMING THE APPLICANT OF THE
COMMUNICATION OF THE INTERNATIONAL
APPLICATION TO THE DESIGNATED OFFICES**

(PCT Rule 47.1(c), first sentence)

Date of mailing (day/month/year) 01 March 2001 (01.03.01)		
Applicant's or agent's file reference 618019-1		
International application No. PCT/US00/18389	International filing date (day/month/year) 30 June 2000 (30.06.00)	Priority date (day/month/year) 26 August 1999 (26.08.99)
Applicant CHIA, Meang, K. et al		

IMPORTANT NOTICE

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice:
AU, KP, KR, US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE, AG, AL, AM, AP, AT, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EA, EE, EP, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OA, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU,
The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 01 March 2001 (01.03.01) under No. WO 01/13754

REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer J. Zahra
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22) 338.83.38

PCT REQUEST

618019-1

Original (for SUBMISSION) - printed on 30.06.2000 04:08:37 PM

0	For receiving Office use only	
0-1	International Application No.	
0-2	International Filing Date	
0-3	Name of receiving Office and "PCT International Application"	
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.90 (updated 10.05.2000)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	United States Patent and Trademark Office (USPTO) (RO/US)
0-7	Applicant's or agent's file reference	618019-1
I	Title of invention	JEWELRY ITEM
II	Applicant	
II-1	This person is:	applicant and inventor
II-2	Applicant for	all designated States
II-4	Name (LAST, First)	CHIA, Meang, K.
II-5	Address:	412 W. 6th Street, Suite 1104 Los Angeles, CA 90014 United States of America
II-6	State of nationality	US
II-7	State of residence	US
III-1	Applicant and/or inventor	
III-1-1	This person is:	applicant and inventor
III-1-2	Applicant for	all designated States
III-1-4	Name (LAST, First)	CHIA, Cheo, K.
III-1-5	Address:	412 W. 6th Street, Suite 1104 Los Angeles, CA 90014 United States of America
III-1-6	State of nationality	US
III-1-7	State of residence	US

PCT REQUEST

618019-1

Original (for SUBMISSION) - printed on 30.06.2000 04:08:37 PM

III-2	Applicant and/or inventor	
III-2-1	This person is:	applicant and inventor
III-2-2	Applicant for	all designated States
III-2-4	Name (LAST, First)	CHIA, Huy, K.
III-2-5	Address:	412 W. 6th Street, Suite 1104 Los Angeles, CA 90014 United States of America
III-2-6	State of nationality	US
III-2-7	State of residence	US
IV-1	Agent or common representative; or address for correspondence The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	PALMER, John
IV-1-2	Address:	LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, CA 90036-5679 United States of America
IV-1-3	Telephone No.	323-934-2300
IV-1-4	Facsimile No.	323-934-0202
IV-2	Additional agent(s)	additional agent(s) with same address as first named agent
IV-2-1	Name(s)	BERG, Richard, P.; GALLENSON, Mavis, S.; LOUIE, Kam, C.; BAILLIE, Iain, C.; GALLOWAY, Peter, D.
V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT EP: AT BE CH&LI CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE and any other State which is a Contracting State of the European Patent Convention and of the PCT OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT

PCT REQUEST

618019-1

Original (for SUBMISSION) - printed on 30.06.2000 04:08:37 PM

V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US (continuation-in-part) UZ VN YU ZA ZW
V-4	Identification of parent application or parent grant, etc.	
V-4-1	Designation	US
V-4-1-1	Kind of protection	continuation-in-part
V-4-1-2	Parent application or grant No.	09/383,814
V-4-1-3	Parent application or grant date	26 August 1999 (26.08.1999)
V-5	Precautionary Designation Statement In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	Exclusion(s) from precautionary designations	NONE
VI-1	Priority claim of earlier national application	
VI-1-1	Filing date	26 August 1999 (26.08.1999)
VI-1-2	Number	09/383,814
VI-1-3	Country	US
VI-2	Priority claim of earlier national application	
VI-2-1	Filing date	03 September 1999 (03.09.1999)
VI-2-2	Number	29/110,327
VI-2-3	Country	US
VI-3	Priority claim of earlier national application	
VI-3-1	Filing date	11 January 2000 (11.01.2000)
VI-3-2	Number	29/116,859
VI-3-3	Country	US
VI-4	Priority claim of earlier national application	
VI-4-1	Filing date	10 March 2000 (10.03.2000)
VI-4-2	Number	29/120,104
VI-4-3	Country	US

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 618019-1	FOR FURTHER ACTION see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/US 00/ 18389	International filing date (day/month/year) 30/06/2000	(Earliest) Priority Date (day/month/year) 26/08/1999
Applicant CHIA, Meang K.		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☐ the text is approved as submitted by the applicant.

☒ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☒ because this figure better characterizes the invention.

1, 4, 8

☐ None of the figures.

INTERNATIONAL S CH REPORT

International application No.

P IS 00/18389

Box III TEXT OF THE ABSTRACT (Continuation of item 5 of the first sheet)

LINE 1 -...MEMBER(2)
LINE 2 -...TOP(7)...CAP(19)
LINE 3 -...ARRANGEMENT(21)
LINE 5 -...OPENING(23,25)
LINE 6 -...TOP(7,9)
LINE 7 -...MEMBER(2)...CAP(19)

INTERNATIONAL SEARCH REPORT

International Application No

PCT 00/18389

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A44C17/02

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A44C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GB 1 200 257 A (W. J. PELLOW LIMITED) 29 July 1970 (1970-07-29)	1-4, 8, 10, 13, 15, 16, 19, 23, 27, 42, 68-74, 78, 81, 110, 118, 124-130
Y	the whole document	9, 22
Y	US 1 842 427 A (P. SAMMARTINO) 26 January 1932 (1932-01-26) page 1, line 65 -page 2, line 41; figures 1-5	9, 22

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents :

A document defining the general state of the art which is not considered to be of particular relevance

E earlier document but published on or after the international filing date

L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

O document referring to an oral disclosure, use, exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the international search

2 November 2000

Date of mailing of the international search report

16/11/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Garnier, F

INTERNATIONAL SEARCH REPORT

International Application No

PCT 00/18389

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2 273 227 A (J. SIMMONS) 17 February 1942 (1942-02-17) page 1, column 1, last paragraph -column 2, line 37; figures 1-5,10,11 ---	1-3,5,6, 8,29,30, 37,38, 50,68, 69,71, 72,78, 81,83, 86, 124-130
X	CH 238 894 A (P. REBETEZ) 31 August 1945 (1945-08-31) page 2, line 10 - line 27; claim; figures 2-4 ---	1-6, 37-39, 72-76, 124-130
X	GB 1 077 460 A (FRED MANSHAW LIMITED) page 2, line 6 - line 46; claims 1-5; figures 1-4 ---	1-4,17, 18,23, 27, 72-75,82
X	US 1 556 465 A (F. B. WENDEL) 6 October 1925 (1925-10-06) page 1, line 77 -page 2, line 38; figures 1-6 ---	1-3,5,6, 8,10,15, 16,24, 28,39, 72,73, 75,76, 78,81, 124-130
X	FR 1 319 354 A (ETABLISSEMENTS GERMAIN) 20 May 1963 (1963-05-20) the whole document ---	90-92, 94-97, 99, 127-130
X	US 5 355 698 A (T. L. EDMARK) 18 October 1994 (1994-10-18) column 2, line 37 -column 3, line 46; figures 1-6 ---	110-115, 118-121, 127-129
E	WO 00 38551 A (M.CHIA) 6 July 2000 (2000-07-06) cited in the application the whole document -----	127-130

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT 00/18389

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
GB 1200257	A	29-07-1970	NONE	
US 1842427	A	26-01-1932	NONE	
US 2273227	A	17-02-1942	NONE	
CH 238894	A	31-08-1945	NONE	
GB 1077460	A		NONE	
US 1556465	A	06-10-1925	NONE	
FR 1319354	A	20-05-1963	NONE	
US 5355698	A	18-10-1994	JP 7008310 A JP 7061289 B	13-01-1995 05-07-1995
WO 0038551	A	06-07-2000	AU 2394900 A	31-07-2000

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To: JOHN PALMER
LADAS & PARRY
5670 WILSHIRE BLVD, SUITE 2100
LOS ANGELES CA 90036

PCT

WRITTEN OPINION

(PCT Rule 66)

Date of Mailing (day/month/year) 22 JAN 2002	
Applicant's or agent's file reference 618019-1	REPLY DUE within ONE months from the above date of mailing
International application No. PCT/US00/18389	International filing date (day/month/year) 30 JUNE 2000
Priority date (day/month/year) 26 AUGUST 1999	
International Patent Classification (IPC) or both national classification and IPC IPC(7): A44C 17/02 and US Cl.: 63/26	
Applicant CHIA, MEANG K.	

1. This written opinion is the first (first, etc.) drawn by this International Preliminary Examining Authority.

2. This opinion contains indications relating to the following items:

- I ☒ Basis of the opinion
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step or industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☒ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

3. The applicant is hereby invited to reply to this opinion.

When? See the time limit indicated above. ~~The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).~~

How? By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

Also For an additional opportunity to submit amendments, see Rule 66.4.
For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis.
For an informal communication with the examiner, see Rule 66.6.

If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.

4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 26 DECEMBER 2001

Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer ANDREA CHOP
Facsimile No. (703) 305-3230	Telephone No. (703) 305-6358

I. Basis of the opinion**1. With regard to the elements of the international application:***☒ the international application as originally filed☒ the description:

pages 1-44 , as originally filed
pages NONE , filed with the demand
pages NONE , filed with the letter of _____

☒ the claims:

pages 45-72 , as originally filed
pages NONE , as amended (together with any statement) under Article 19
pages NONE , filed with the demand
pages NONE , filed with the letter of _____

☒ the drawings:

pages 1-16 , as originally filed
pages NONE , filed with the demand
pages NONE , filed with the letter of _____

☒ the sequence listing part of the description:

pages NONE , as originally filed
pages NONE , filed with the demand
pages NONE , filed with the letter of _____

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language _____ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
☐ the language of publication of the international application (under Rule 48.3(b)).
☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the written opinion was drawn on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
☐ filed together with the international application in computer readable form.
☐ furnished subsequently to this Authority in written form.
☐ furnished subsequently to this Authority in computer readable form.
☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☒ The amendments have resulted in the cancellation of:

☒ the description, pages NONE
☒ the claims, Nos. NONE
☒ the drawings, sheets/fig NONE

5. ☐ This opinion has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed".

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. statement

Novelty (N)	Claims	(Please See supplemental sheet)	YES
	Claims	(Please See supplemental sheet)	NO
Inventive Step (IS)	Claims	(Please See supplemental sheet)	YES
	Claims	(Please See supplemental sheet)	NO
Industrial Applicability (IA)	Claims	(Please See supplemental sheet)	YES
	Claims	(Please See supplemental sheet)	NO

2. citations and explanations

Claims 1-4, 7-9, 15-16, 21-24, 27, 40-42, 63-64, 67-89, 95-99 and 110-130 lack novelty under PCT Article 33(2) as being anticipated by Peters US 1,570,776.

Peters shows a base member $\frac{1}{4}/13$ (considered to be hollow due to the opening through the ring), a decorative top 13, a cap 3, and an attachment arrangement 4/6. The linking arrangement is the opening through the ring 1.

Claims 1, 10, 11, 17, 20, 25, 26, 28, 33-39, 62, 90-94, 100-102 and 109 lack novelty under PCT Article 33(2) as being anticipated by Jenkins US 4,488,415.

Jenkins shows a base member 12/6/46, a decorative top 46, a cap 16, and an attachment arrangement 18.

Claims 14, 29-32, 44-52 and 65-66 lack an inventive step under PCT Article 33(3) as being obvious over Peters US 1,570,776.

Peters shows the claimed invention. In regards to the plural decorative items, it would have been obvious to have plural decorative items, since duplication of the essential working parts of a device involves only routine skill in the art. In regards to the specific shapes claimed, it would have been an obvious matter of design choice to use the shapes claimed, since such a modification would have involved a mere change in the shape of the device; a change in shape is recognized as being within the level of ordinary skill in the art.

Claims 5, 6, 12, 13, 18, 19, 43, 53-61, 103-108 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest a base member with a plurality of windows and a cap with a plurality of prongs.

NEW CITATIONS

US 1,570,776 A (PETERS) 26 JANUARY 1926, see entire document.

US 4,488,415 A (JENKINS) 18 DECEMBER 1984, see entire document.

VI. Certain documents cited

1. Certain published documents (Rule 70.10)

<u>Application No. Patent No.</u>	<u>Publication Date (day/month/year)</u>	<u>Filing Date (day/month/year)</u>	<u>Priority date (valid claim) (day/month/year)</u>
US, A, 6,116,054	12 SEPTEMBER 2000	10 JULY 1997	NONE

2. Non-written disclosures (Rule 70.9)

<u>Kind of non-written disclosure</u>	<u>Date of non-written disclosure (day/month/year)</u>	<u>Date of written disclosure referring to non-written disclosure (day/month/year)</u>

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

TIME LIMIT:

The time limit set for response to a Written Opinion may not be extended. 37 CFR 1.484(d). Any response received after the expiration of the time limit set in the Written Opinion will not be considered in preparing the International Preliminary Examination Report.

V. 1. REASONED STATEMENTS:

The opinion as to Novelty was positive (YES) with respect to claims 5, 6, 10-14, 17-20, 25, 26, 28-39, 43-62, 65, 66, 90-94, 100-109.

The opinion as to Novelty was negative (NO) with respect to claims 1-4, 7-9, 15-16, 21-24, 27, 40-42, 63-64, 67-89, 95-99, 110-130.

The opinion as to Inventive Step was positive (YES) with respect to claims 5, 6, 12, 13, 18, 19, 43, 53-61, 103-108.

The opinion as to Inventive Step was negative (NO) with respect to claims 1-4, 7-11, 14-17, 20-42, 44-52, 62-102, 109-130.

The opinion as to Industrial Applicability was positive (YES) with respect to claims 1-130.

The opinion as to Industrial Applicability was negative (NO) with respect to claims NONE.

The demand must be filed directly with the competent International Preliminary Examining Authority or, if two or more Authorities are competent, with the one chosen by the applicant. The name or two-letter code of that Authority may be indicated by the applicant on the line below:

IPEA/ US

PCT

CHAPTER II

DEMAND

under Article 31 of the Patent Cooperation Treaty:

The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

For International Preliminary Examining Authority use only

Identification of IPEA		Date of receipt of DEMAND	
Box No. I IDENTIFICATION OF THE INTERNATIONAL APPLICATION			Applicant's or agent's file reference 618019-1
International application No. PCT/US00/18389	International filing date (day/month/year) 30 June 2000 (30.06.00)	(Earliest) Priority date (day/month/year) 26 August 1999 (26.08.99)	
Title of invention JEWELRY ITEM			
Box No. II APPLICANT(S)			
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.) CHIA, Meang, K. 412 W. 6th Street, Suite 1104 Los Angeles, CA 90014 United States of America		Telephone No.: Facsimile No.: Teleprinter No.:	
State (that is, country) of nationality: US		State (that is, country) of residence: US	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.) CHIA, Cheo, K. 412 W. 6th Street, Suite 1104 Los Angeles, CA 90014 United States of America			
State (that is, country) of nationality: US		State (that is, country) of residence: US	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.) CHIA, Huy, K. 412 W. 6th Street, Suite 1104 Los Angeles, CA 90014 United States of America			
State (that is, country) of nationality: US		State (that is, country) of residence: US	
<input type="checkbox"/> Further applicants are indicated on a continuation sheet.			

Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The following person is ☒ agent ☐ common representative
 and ☒ has been appointed earlier and represents the applicant(s) also for international preliminary examination.
☐ is hereby appointed and any earlier appointment of (an) agent(s)/common representative is hereby revoked.
☐ is hereby appointed, specifically for the procedure before the International Preliminary Examining Authority, in addition to the agent(s)/common representative appointed earlier.

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)*

PALMER, John
 Ladas & Parry
 5670 Wilshire Blvd., Suite 2100
 Los Angeles, California 90036
 United States of America

Telephone No.:

(323) 934-2300

Facsimile No.:

(323) 923-0202

Teleprinter No.:

☐ Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

Box No. IV BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION**Statement concerning amendments:***

1. The applicant wishes the international preliminary examination to start on the basis of:

☒ the international application as originally filed

the description

☐

as originally filed

☐

as amended under Article 34

the claims

☐

as originally filed

☐

as amended under Article 19 (together with any accompanying statement)

☐

as amended under Article 34

the drawings

☐

as originally filed

☐

as amended under Article 34

2. ☐ The applicant wishes any amendment to the claims under Article 19 to be considered as reversed.

3. ☐ The applicant wishes the start of the international preliminary examination to be postponed until the expiration of 20 months from the priority date unless the International Preliminary Examining Authority receives a copy of any amendments made under Article 19 or a notice from the applicant that he does not wish to make such amendments (Rule 69.1(d)). *(This check-box may be marked only where the time limit under Article 19 has not yet expired.)*

* Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.

Language for the purposes of international preliminary examination: ENGLISH

☒

which is the language in which the international application was filed.

☐

which is the language of a translation furnished for the purposes of international search.

☐

which is the language of publication of the international application.

☐

which is the language of the translation (to be) furnished for the purposes of international preliminary examination.

Box No. V ELECTION OF STATES

The applicant hereby elects all eligible States *(that is, all States which have been designated and which are bound by Chapter II of the PCT)*

excluding the following States which the applicant wishes not to elect:

Box No. VI CHECK LIST

The demand is accompanied by the following elements, in the language referred to in Box No. IV, for the purposes of international preliminary examination:

- | | | |
|--|---|--------|
| 1. translation of international application | : | sheets |
| 2. amendments under Article 34 | : | sheets |
| 3. copy (or, where required, translation) of amendments under Article 19 | : | sheets |
| 4. copy (or, where required, translation) of statement under Article 19 | : | sheets |
| 5. letter | : | sheets |
| 6. other (<i>specify</i>) | : | sheets |

For International Preliminary Examining Authority use only

received not received

<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

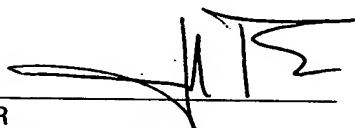
The demand is also accompanied by the item(s) marked below:

- | | |
|--|---|
| 1. <input checked="" type="checkbox"/> fee calculation sheet | 4. <input type="checkbox"/> statement explaining lack of signature |
| 2. <input type="checkbox"/> separate signed power of attorney | 5. <input type="checkbox"/> nucleotide and or amino acid sequence listing in computer readable form |
| 3. <input type="checkbox"/> copy of general power of attorney; reference number, if any: | 6. <input checked="" type="checkbox"/> other (<i>specify</i>): Express Mail Cert. (1pg) |

Check 12932 (\$887.00); Postcard

Box No. VII SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand).



JOHN PALMER
ATTORNEY FOR APPLICANT

For International Preliminary Examining Authority use only

1. Date of actual receipt of DEMAND:

2. Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b):

3. ☐ The date of receipt of the demand is AFTER the expiration of 19 months from the priority date and item 4 or 5, below, does not apply.

☐ The applicant has been informed accordingly.

4. ☐ The date of receipt of the demand is WITHIN the period of 19 months from the priority date as extended by virtue of Rule 80.5.

5. ☐ Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is EXCUSED pursuant to Rule 82.

For International Bureau use only

Demand received from IPEA on:

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To: JOHN PALMER
LADAS & PARRY
5670 WILSHIRE BLVD, SUITE 2100
LOS ANGELES CA 90036

PCT

WRITTEN OPINION

(PCT Rule 66)

Date of Mailing
(day/month/year)

22 JAN 2002

Applicant's or agent's file reference

618019-1

REPLY DUE

within ONE months
from the above date of mailing

International application No.

PCT/US00/18389

International filing date (day/month/year)

30 JUNE 2000

Priority date (day/month/year)

26 AUGUST 1999

International Patent Classification (IPC) or both national classification and IPC
IPC(7): A44C 17/02 and US Cl.: 63/26

Applicant

CHIA, MEANG K.

1. This written opinion is the first (first, etc.) drawn by this International Preliminary Examining Authority.

2. This opinion contains indications relating to the following items:

- I ☒ Basis of the opinion
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step or industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☒ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

3. The applicant is hereby invited to reply to this opinion.

When? See the time limit indicated above. ~~The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).~~

How? By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

Also For an additional opportunity to submit amendments, see Rule 66.4.
For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis.
For an informal communication with the examiner, see Rule 66.6.

If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.

4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 26 DECEMBER 2001.

Name and mailing address of the IPEA/US

Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

ANDREA CHOP

Telephone No. (703) 305-6358

WRITTEN OPINION

International application No.

PCT/US00/18389

I. Basis of the opinion

1. With regard to the elements of the international application:*

☒ the international application as originally filed
☒ the description:
 pages 1-44 , as originally filed
 pages NONE , filed with the demand
 pages NONE , filed with the letter of _____

☒ the claims:
 pages 45-72 , as originally filed
 pages NONE , as amended (together with any statement) under Article 19
 pages NONE , filed with the demand
 pages NONE , filed with the letter of _____

☒ the drawings:
 pages 1-16 , as originally filed
 pages NONE , filed with the demand
 pages NONE , filed with the letter of _____

☒ the sequence listing part of the description:
 pages NONE , as originally filed
 pages NONE , filed with the demand
 pages NONE , filed with the letter of _____

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language _____ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
☐ the language of publication of the international application (under Rule 48.3(b)).
☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the written opinion was drawn on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
☐ filed together with the international application in computer readable form.
☐ furnished subsequently to this Authority in written form.
☐ furnished subsequently to this Authority in computer readable form.
☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☒ The amendments have resulted in the cancellation of:

☒ the description, pages NONE
☒ the claims, Nos. NONE
☒ the drawings, sheets/~~fig~~ NONE

5. ☐ This opinion has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed".

WRITTEN OPINION

International application No.

PCT/US00/18389

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. statement

Novelty (N)	Claims	<u>(Please See supplemental sheet)</u>	YES
	Claims	<u>(Please See supplemental sheet)</u>	NO
Inventive Step (IS)	Claims	<u>(Please See supplemental sheet)</u>	YES
	Claims	<u>(Please See supplemental sheet)</u>	NO
Industrial Applicability (IA)	Claims	<u>(Please See supplemental sheet)</u>	YES
	Claims	<u>(Please See supplemental sheet)</u>	NO

2. citations and explanations

Claims 1-4, 7-9, 15-16, 21-24, 27, 40-42, 63-64, 67-89, 95-99 and 110-130 lack novelty under PCT Article 33(2) as being anticipated by Peters US 1,570,776.

Peters shows a base member $\frac{1}{4}$ /13 (considered to be hollow due to the opening through the ring), a decorative top 13, a cap 3, and an attachment arrangement 4/6. The linking arrangement is the opening through the ring 1.

Claims 1, 10, 11, 17, 20, 25, 26, 28, 33-39, 62, 90-94, 100-102 and 109 lack novelty under PCT Article 33(2) as being anticipated by Jenkins US 4,488,415.

Jenkins shows a base member 12/6/46, a decorative top 46, a cap 16, and an attachment arrangement 18.

Claims 14, 29-32, 44-52 and 65-66 lack an inventive step under PCT Article 33(3) as being obvious over Peters US 1,570,776.

Peters shows the claimed invention. In regards to the plural decorative items, it would have been obvious to have plural decorative items, since duplication of the essential working parts of a device involves only routine skill in the art. In regards to the specific shapes claimed, it would have been an obvious matter of design choice to use the shapes claimed, since such a modification would have involved a mere change in the shape of the device; a change in shape is recognized as being within the level of ordinary skill in the art.

Claims 5, 6, 12, 13, 18, 19, 43, 53-61, 103-108 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest a base member with a plurality of windows and a cap with a plurality of prongs.

----- NEW CITATIONS -----

US 1,570,776 A (PETERS) 26 JANUARY 1926, see entire document.

US 4,488,415 A (JENKINS) 18 DECEMBER 1984, see entire document. *

WRITTEN OPINION

International application No.

PCT/US00/18389

VI. Certain documents cited

1. Certain published documents (Rule 70.10)

<u>Application No. Patent No.</u>	<u>Publication Date (day/month/year)</u>	<u>Filing Date (day/month/year)</u>	<u>Priority date (valid claim) (day/month/year)</u>
US, A, 6,116,054	12 SEPTEMBER 2000	10 JULY 1997	NONE

2. Non-written disclosures (Rule 70.9)

<u>Kind of non-written disclosure</u>	<u>Date of non-written disclosure (day/month/year)</u>	<u>Date of written disclosure referring to non-written disclosure (day/month/year)</u>

WRITTEN OPINION

International application No.

PCT/US00/18389

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

TIME LIMIT:

The time limit set for response to a Written Opinion may not be extended. 37 CFR 1.484(d). Any response received after the expiration of the time limit set in the Written Opinion will not be considered in preparing the International Preliminary Examination Report.

V. 1. REASONED STATEMENTS:

The opinion as to Novelty was positive (YES) with respect to claims 5, 6, 10-14, 17-20, 25, 26, 28-39, 43-62, 65, 66, 90-94, 100-109.

The opinion as to Novelty was negative (NO) with respect to claims 1-4, 7-9, 15-16, 21-24, 27, 40-42, 63-64, 67-89, 95-99, 110-130.

The opinion as to Inventive Step was positive (YES) with respect to claims 5, 6, 12, 13, 18, 19, 43, 53-61, 103-108.

The opinion as to Inventive Step was negative (NO) with respect to claims 1-4, 7-11, 14-17, 20-42, 44-52, 62-102, 109-130.

The opinion as to Industrial Applicability was positive (YES) with respect to claims 1-130.

The opinion as to Industrial Applicability was negative (NO) with respect to claims NONE.